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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Huachang LU et al.

Application No./Patent No.: 10/567,535 Filed/Issue Date: August 13, 2003 (Int'l)

Titled: **FLUORESCENT MAGNETIC NANOPARTICLES AND PROCESS OF PREPARATION**

Tsinghua University, a university  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest in;
2. ☒ an assignee of less than the entire right, title, and interest in. **The remainder of the right, title and interest in the application is assigned to CapitalBio corporation.**
3. ☐ an assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made) the patent application/patent identified above by virtue of either:
  - A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 018363, Frame 0350, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
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☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

*Kejun Kang*  
Signature  
KEJUN KANG  
Printed or Typed Name

Nov. 17, 2009.  
Date  
VICE PRESIDENT  
Title

Attorney Docket No. 514572001900